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# REFINEMENT OF THE ALTERNATING SPACE HIERARCHY\*

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**Abstract.** We refine the alternating space hierarchy by separating the classes  $\Sigma_k$ -SPACE(s(n)) and  $\Pi_k$ -SPACE(s(n)) from  $\Delta_k$ -SPACE(s(n)) as well as from  $\Delta_{k+1}$ -SPACE(s(n)), for each  $s(n) \in \Omega(\log \log n) \cap o(\log n)$ , and  $k \geq 2$ . We also present unary (tally) sets separating  $\Sigma_2$ -SPACE(s(n)) and  $\Pi_2$ -SPACE(s(n)) from  $\Delta_2$ -SPACE(s(n)) as well as from  $\Delta_3$ -SPACE(s(n)).

Keywords: Computational complexity, sublogarithmic space, alternation

#### **1 INTRODUCTION AND PRELIMINARIES**

The problem of alternating space hierarchy has received a lot of attention in the last decade. By inductive counting [8, 11], nondeterministic space classes NSPACE(s(n)) are closed under complement, for each  $s(n) \in \Omega(\log n)$ . This implies that the hierarchy of language classes recognizable by s(n) space bounded machines making a constant number of alternations collapses to the first level, i.e.,

 $\Sigma_k$ -SPACE $(s(n)) = \Pi_k$ -SPACE(s(n)) = NSPACE(s(n)),

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for each  $k \geq 1$  and each  $s(n) \in \Omega(\log n)$ . Since the technique of inductive counting uses the assumption  $s(n) \in \Omega(\log n)$ , the above collapse does not extend to sublogarithmic space bounds.

Later, in a series of independent papers [1, 6, 10], it was shown that the above alternating hierarchy is infinite for  $s(n) \in \Omega(\log \log n) \cap o(\log n)$ , i.e., both  $\Sigma_k$ -SPACE(s(n)) and  $\Pi_k$ -SPACE(s(n)) are proper subsets of  $\Sigma_{k+1}$ -SPACE(s(n)), as well as of  $\Pi_{k+1}$ -SPACE(s(n)), for each  $k \geq 1$ .

For  $s(n) \in o(\log \log n)$ , the corresponding complexity classes contain only regular languages, by [9].

Here we shall prove a more subtle structure of the alternating space hierarchy for space bounds between  $\log \log n$  and  $\log n$ . For each  $k \geq 2$ , we shall present a language  $L_k$  that can be accepted both by  $\Sigma_{k+1}$ -alternating and  $\Pi_{k+1}$ -alternating machines in space  $O(\log \log n)$ , but neither by a  $\Sigma_k$ -alternating nor by a  $\Pi_k$ -alternating machine in space  $o(\log n)$ . This gives, for each  $k \geq 2$  and  $s(n) \in \Omega(\log \log n) \cap$  $o(\log n)$ , that  $\Delta_k$ -SPACE(s(n)) is a proper subset of both  $\Sigma_k$ -SPACE(s(n)) and  $\Pi_k$ -SPACE(s(n)), and, in turn, both  $\Sigma_k$ -SPACE(s(n)) and  $\Pi_k$ -SPACE(s(n)) are proper subsets of  $\Delta_{k+1}$ -SPACE(s(n)).

The situation is not so clear if we restrict the above classes to unary (tally) languages, i.e., to languages over a single letter alphabet. Here we have, by [5],

unary– $\Sigma_1$ -SPACE(s(n)) = unary– $\Pi_1$ -SPACE(s(n)) = unary– $\Delta_1$ -SPACE(s(n)),

for each s(n), independent of whether  $s(n) \in \Omega(\log n)$ , together with [4]

unary–
$$\Sigma_2$$
-SPACE $(s(n)) \neq$  unary– $\Pi_2$ -SPACE $(s(n))$ ,

i.e., the collapse at the first level does not imply the collapse at the higher levels. Further, the classes at the second level are incomparable, from which we have that  $unary-\Delta_2$ -SPACE(s(n)) is a proper subset of both  $unary-\Sigma_2$ -SPACE(s(n)) and  $unary-\Pi_2$ -SPACE(s(n)), and that the unary hierarchy does not collapse below the level two, for s(n) between  $\log \log n$  and  $\log n$ .

It is not known whether the alternating hierarchy is infinite in the unary case, or if it consists of a finite number of distinct levels. Here we shall raise the alternating hierarchy "half a level up", by presenting a language that belongs to both unary- $\Sigma_3$ -SPACE(log log n) and unary- $\Pi_3$ -SPACE(log log n), but that is contained neither in unary- $\Sigma_2$ -SPACE( $o(\log n)$ ), nor in unary- $\Pi_2$ -SPACE( $o(\log n)$ ). Summing up, we can separate unary- $\Sigma_2$ - and unary- $\Pi_2$ -SPACE(s(n)) both from unary- $\Delta_2$ -SPACE(s(n)) and from unary- $\Delta_3$ -SPACE(s(n)).

We first briefly review some basic definitions and notations used throughout.

We shall consider the standard Turing machine having a finite control, a twoway read-only input tape with the input enclosed in two endmarkers, and a separate semi-infinite two-way read-write worktape, initially empty. The reader is assumed to be familiar with the notion of an alternating Turing machine, which is, at the same time, a generalization of nondeterminism and parallelism [1, 2, 6, 10].

#### Refinement of the Alternating Space Hierarchy

For  $s(n) : \mathbb{N} \to \mathbb{N}$ , we call an alternating machine s(n) space bounded, if, for each input of the length n, no reachable configuration uses more than s(n) cells on the worktape.

The class of languages recognizable by machines making at most k-1 alternations between existential and universal configurations, starting from the initial configuration that is existential (universal), and space bounded by O(s(n)), will be denoted by  $\Sigma_k$ -SPACE(s(n)), or  $\Pi_k$ -SPACE(s(n)), respectively.

Further,  $\Delta_k$ -SPACE(s(n)) denotes  $\Sigma_k$ -SPACE $(s(n)) \cap \Pi_k$ -SPACE(s(n)).

By unary- $\Sigma_k$ -SPACE(s(n)), unary- $\Pi_k$ -SPACE(s(n)), and unary- $\Delta_k$ -SPACE(s(n)), we denote the corresponding space complexity classes restricted to unary (tally) languages, i.e., to languages over a single letter alphabet.

The above definition corresponds to so-called *strongly* space bounded machines (worst case cost). We shall not consider *weakly* space bounded machines here. (Weak definition of space complexity considers the best cost of acceptance; for each accepted input of the length n, there exists at least one accepting computation not using more space than s(n)). For more differences, see [12].

### 2 ALTERNATING HIERARCHY IN BINARY CASE

Now we are ready to state and prove main theorems, refining the alternating space hierarchy. We first introduce some known results presented in [6], separating the complexity classes  $\Sigma_k$ -SPACE $(o(\log n))$  from  $\Pi_k$ -SPACE $(o(\log n))$ , for  $k \ge 2$ . The languages separating these classes have a simple block structure. The structure of the blocks can be described by a sequence of regular languages  $R_2, R_3, R_4, \ldots$  Then the separating languages  $S_k$  and  $P_k$  are defined, by induction on  $k \ge 2$ .

**Definition 1.** Let  $\{0, 1\}$  denote a two-letter alphabet. Then

$$R_2 = 1^+,$$
  
 $R_k = 0(R_{k-1}0)^+, \text{ for each } k > 2.$ 

Further, let

$$f(n)$$
 = the first number that does not divide  $n$ .

Then

$$S_{2} = \{1^{n}: f(n) \leq \max\{f(1), \dots, f(n-1)\}\},$$

$$P_{2} = \{1^{n}: f(n) > \max\{f(1), \dots, f(n-1)\}\},$$

$$S_{k} = \{w \in R_{k}: w = 0w_{1}0w_{2}0 \dots 0w_{\ell}0,$$

$$\exists j \in \{1, \dots, \ell\}: w_{j} \in P_{k-1} \& w_{1}, \dots, w_{\ell} \in R_{k-1}\},$$

$$P_{k} = \{w \in R_{k}: w = 0w_{1}0w_{2}0 \dots 0w_{\ell}0,$$

$$\forall j \in \{1, \dots, \ell\}: w_{j} \in S_{k-1} \& w_{1}, \dots, w_{\ell} \in R_{k-1}\},$$

for each k > 2.

**Theorem 1** ([6]).  $P_k \in \Pi_k$ -SPACE(log log n) and  $S_k \in \Sigma_k$ -SPACE(log log n), for each  $k \ge 2$ , but  $P_k \notin \Sigma_k$ -SPACE(s(n)) and  $S_k \notin \Pi_k$ -SPACE(s(n)), for each  $k \ge 2$  and for each  $s(n) \in o(\log n)$ .

This implies that there exists an  $O(\log \log n)$  space bounded  $\Sigma_k$ -alternating machine  $\mathcal{N}_{S_k}$  recognizing  $S_k$ , as well as a  $\Pi_k$ -alternating machine  $\mathcal{N}_{P_k}$  recognizing  $P_k$ .

Now we can present a language  $L_k$ , that separates  $\Delta_{k+1}$ -SPACE(log log n) from the class  $\Pi_k$ -SPACE( $o(\log n)$ )  $\cup \Sigma_k$ -SPACE( $o(\log n)$ ).

**Definition 2.**  $L_k = \{w_1 \$ w_2 : w_1 \in (S_k \cup \{\varepsilon\}) \& w_2 \in (P_k \cup \{\varepsilon\})\}.$ 

In the next two lemmas, we will show that  $L_k \in \Delta_{k+1}$ -SPACE(log log n).

**Lemma 1.** For each  $k \ge 2$ ,  $L_k \in \prod_{k+1}$ -SPACE $(\log \log n)$ .

**Proof.** We have to show that there exists a  $\Pi_{k+1}$ -alternating machine  $\mathcal{M}_{P_{k+1}}$  recognizing  $L_k$  in  $O(\log \log n)$  space. Initially, the machine  $\mathcal{M}_{P_{k+1}}$  branches universally into the following two processes:

- 1. The first process accepts, if  $w_1 = \varepsilon$ . Otherwise, it alternates to the existential phase and then it simulates the machine  $\mathcal{N}_{S_k}$  on the input  $w_1$ , imitating the right endmarker at the position of the special symbol "\$". The first process does not use space above  $O(\log \log n)$ , because the machine  $\mathcal{N}_{S_k}$  works in  $O(\log \log n)$  space.
- 2. The second process moves its head to the right until it finds the symbol "\$", which, from this point forward, represents the left endmarker for it. Then the process checks whether  $w_2 = \varepsilon$ . If yes, it accepts. Otherwise, without alternation, it simulates the machine  $\mathcal{N}_{P_k}$  on the input  $w_2$ . Clearly, this does not require more space than  $O(\log \log n)$ , because the machine  $\mathcal{N}_{P_k}$  recognizing the language  $P_k$  works in  $O(\log \log n)$  space.

**Lemma 2.** For each  $k \ge 2$ ,  $L_k \in \Sigma_{k+1}$ -SPACE $(\log \log n)$ .

**Proof.** Now we have to present a  $\Sigma_{k+1}$ -alternating machine  $\mathcal{M}_{S_{k+1}}$  recognizing  $L_k$ . This machine proceeds as follows. Initially, in an existential phase,  $\mathcal{M}_{S_{k+1}}$  checks whether  $w_1 = \varepsilon$  and whether  $w_2 = \varepsilon$ .

- 1. If  $w_1 = \varepsilon$  and  $w_2 = \varepsilon$ , then  $\mathcal{M}_{S_{k+1}}$  halts and accepts.
- 2. If  $w_1 = \varepsilon$  but  $w_2 \neq \varepsilon$ , the machine alternates to the universal phase and then simulates the machine  $\mathcal{N}_{P_k}$  on the input  $w_2$ .
- 3. If  $w_1 \neq \varepsilon$  and  $w_2 = \varepsilon$ , the machine, without alternation, simulates  $\mathcal{N}_{S_k}$  on the input  $w_1$ .

- 4. If  $w_1 \neq \varepsilon$  and  $w_2 \neq \varepsilon$ , the machine  $\mathcal{M}_{S_{k+1}}$  starts to simulate  $\mathcal{N}_{S_k}$  on the input  $w_1$  until the machine  $\mathcal{N}_{S_k}$  alternates for the first time. (Or until it halts in an accepting configuration, making no alternation at all.) At this moment, the machine  $\mathcal{M}_{S_{k+1}}$  branches universally into two parallel processes:
  - The first process will carry on the simulation of  $\mathcal{N}_{S_k}$  on the input  $w_1$ .
  - The second process moves its input head to the right until it finds the symbol "\$". Then it clears the worktape and starts to simulate the machine  $\mathcal{N}_{P_k}$  on the input  $w_2$ .

It is easy to see that  $\mathcal{M}_{S_{k+1}}$  accepts if and only if both  $w_1 \in S_k \cup \{\varepsilon\}$  and  $w_2 \in P_k \cup \{\varepsilon\}$ , and hence  $\mathcal{L}(\mathcal{M}_{S_{k+1}}) = L_k$ . Because neither  $\mathcal{N}_{S_k}$  nor  $\mathcal{N}_{P_k}$  use space above  $O(\log \log n)$ , this much space is sufficient for  $\mathcal{M}_{S_{k+1}}$ . Note also that, in each of the cases 1–4, the machine  $\mathcal{M}_{S_{k+1}}$  does not alternate more than once before is starts to simulate  $\mathcal{N}_{P_k}$  and it does not alternate at all before a simulation of  $\mathcal{N}_{S_k}$ . Hence, it is a  $\Sigma_{k+1}$ -alternating device.

**Theorem 2.** For each  $k \ge 2$ ,  $L_k \in \Delta_{k+1}$ -SPACE $(\log \log n)$ .

Thus, we have proved that  $L_k \in \Delta_{k+1}$ -SPACE(log log n), for each  $k \ge 2$ . We have to prove that  $L_k$  can be recognized neither by a  $\Sigma_k$ -SPACE( $o(\log n)$ ) machine nor by a  $\Pi_k$ -SPACE( $o(\log n)$ ) machine. First, we need a simple lemma about the functions s(n) and s(n + 1), where  $s(n) \in o(\log n)$ .

**Lemma 3.** For each function  $s(n) \ge 0$ , if  $s(n) \in o(\log n)$ , then  $s(n+1) \in o(\log n)$ .

**Proof.** Let  $s(n) \in o(\log n)$ , which means that  $\lim_{n \to \infty} \frac{s(n)}{\log n} = 0$ . Then

$$0 \leq \lim_{n \to \infty} \frac{s(n+1)}{\log n} = \lim_{n \to \infty} \frac{s(n+1)}{\log(n+1)} \cdot \frac{\log(n+1)}{\log n} \leq \lim_{n \to \infty} \frac{s(n+1)}{\log(n+1)} \cdot \lim_{n \to \infty} \frac{1+\log n}{\log n} = 0 \cdot 1 = 0.$$

Hence,  $0 \leq \lim_{n \to \infty} \frac{s(n+1)}{\log n} \leq 0$ , from which we get that  $s(n+1) \in o(\log n)$ .

**Lemma 4.** For each  $k \ge 2$ ,  $L_k \notin \Sigma_k$ -SPACE $(o(\log n))$ .

**Proof.** We shall show that there does not exist a  $\Sigma_k$ -alternating machine  $\mathcal{M}$  working in  $o(\log n)$  space and recognizing the language  $L_k$ . Suppose, for contradiction, that such machine does exist, i.e.,  $L_k \in \Sigma_k$ -SPACE(s(n)), for some  $s(n) \in o(\log n)$ . Let  $P_k = \{ w: w \in P_k \} = L_k \cap \{ 0, 1 \}^+$ . Then  $P_k$  must be in  $\Sigma_k$ -SPACE(s(n)). The machine  $\mathcal{M}'$  recognizing  $P_k$  first checks whether the input u is the form  $\{ 0, 1 \}^+$ . If not,  $\mathcal{M}'$  rejects the input. Otherwise it simulates the machine  $\mathcal{M}$  on the input u.

Now it is easy to see that  $P_k \in \Sigma_k$ -SPACE(s(n + 1)). The corresponding machine  $\mathcal{M}^*$  for  $P_k$  simply simulates  $\mathcal{M}'$ , pretending that the symbol "\$" is inserted between the left endmarker and the first symbol of the real input. Thus, the language  $P_k$  is in  $\Sigma_k$ -SPACE(s(n + 1)), i.e.,  $P_k \in \Sigma_k$ -SPACE(s'(n)), for some  $s'(n) \in o(\log n)$ , by Lemma 3. But this contradicts Theorem 1.

**Lemma 5.** For each  $k \ge 2$ ,  $L_k \notin \prod_k \text{-SPACE}(o(\log n))$ .

**Proof.** The argument is almost the same as in the proof of Lemma 4. We only have to replace " $\Sigma_k$ -SPACE" everywhere by " $\Pi_k$ -SPACE", the language  $P_k$  by  $S_k = \{w \colon w \in S_k\}$ . Finally,  $\mathcal{M}^*$  pretends that "\$" is inserted between the last symbol of the real input and the right endmarker, instead of the left endmarker.  $\Box$ 

**Theorem 3.** For each  $k \ge 2$ ,  $L_k \notin \prod_k \text{-SPACE}(o(\log n)) \cup \Sigma_k \text{-SPACE}(o(\log n))$ .

**Corollary 1.** For each  $k \ge 2$  and each  $s(n) \in \Omega(\log \log n) \cap o(\log n)$ ,

Here " $\subset$ " denotes a proper inclusion. The first two inclusions follow from Theorem 1. The separating languages are  $S_k, P_k$ , and  $L_k$ , introduced in Definitions 1 and 2, respectively. Figure 1 resumes such results.

$$\Sigma_{0} = \Pi_{0} = \Delta_{0} \stackrel{?}{\subseteq} \Delta_{1} \stackrel{?}{\underset{?}{\leftarrow}} \stackrel{\Sigma_{1}}{\underset{\Pi_{1}}{\leftarrow}} \stackrel{?}{\underset{?}{\leftarrow}} \Delta_{2} \stackrel{\Sigma_{2}}{\underset{\Pi_{2}}{\leftarrow}} \stackrel{\Sigma_{2}}{\underset{\Pi_{2}}{\leftarrow}} \stackrel{\Sigma_{3}}{\underset{\Pi_{3}}{\leftarrow}} \stackrel{\Sigma_{3}}{\underset{\Pi_{3}}{\leftarrow}} \stackrel{.}{\underset{\Pi_{3}}{\leftarrow}} \stackrel{.}{\underset{\Pi_{$$

Fig. 1. Alternating space hierarchy. Here  $\Pi_i$  ( $\Sigma_i$ ,  $\Delta_i$ ) represents the complexity class  $\Pi_i$ -SPACE(s(n)), for  $s(n) \in \Omega(\log \log n) \cap o(\log n)$  ( $\Sigma_i$ -SPACE(s(n)),  $\Delta_i$ -SPACE(s(n)), respectively). Proper inclusions are indicated by " $\subset$ ", incomparable classes by " $\neq$ ". Finally, " $\subseteq$ <sup>?</sup>" denotes an inclusion which is not known to be proper.

### **3 ALTERNATING HIERARCHY IN UNARY CASE**

Now we shall show the existence of a unary language L separating the complexity class unary- $\Delta_3$ -SPACE(s(n)) from the complexity classes unary- $\Sigma_2$ -SPACE(s(n)) and unary- $\Pi_2$ -SPACE(s(n)), for each  $s(n) \in \Omega(\log \log n) \cap o(\log n)$ .

Definition 3. Let

$$L = \{1^{n}: f(n) = p_{i}, \text{ and}, \\ \text{if } i \text{ is even, then } f(n) > \max\{f(1), \dots, f(n-1)\}, \\ \text{if } i \text{ is odd, then } f(n) \le \max\{f(1), \dots, f(n-1)\}\}$$

Here f(n) denotes the first number not dividing n, introduced in Definition 1, and  $p_i$  denotes the *i*-th prime.

In the next two lemmas, we will show that  $L \in \mathsf{unary}-\Delta_3$ -SPACE(log log n). Recall that the languages  $S_2 = \{1^n : f(n) \leq \max\{f(1), \ldots, f(n-1)\}\}$  and its complement  $P_2 = \{1^n : f(n) > \max\{f(1), \ldots, f(n-1)\}\}$  are in the classes  $\Sigma_2$ -SPACE(log log n) and  $\Pi_2$ -SPACE(log log n), respectively, by Theorem 1.

#### Lemma 6. $L \in \text{unary}-\Pi_3$ -SPACE $(\log \log n)$ .

**Proof.** We want to show that there exists  $\mathcal{M}_{P_3}$ , a unary  $\Pi_3$ -alternating machine working in  $O(\log \log n)$  space, such that  $\mathcal{L}(\mathcal{M}_{P_3}) = L$ . First, the machine  $\mathcal{M}_{P_3}$  deterministically computes the value of f(n) and checks whether  $f(n) = p_i$  for some prime  $p_i$ . It should be clear that testing whether f(n) is a prime can be performed in space  $O(\log f(n)) \subseteq O(\log \log n)$ , since  $\log f(n) \in O(\log \log n)$ . See, e.g., [3, 7] or [12] (Lemma 4.1.2.) If, for some  $i, f(n) = p_i$ , then  $\mathcal{M}_{P_3}$  also computes  $i \mod 2$ .

- If  $(i \mod 2) = 1$ , i.e. *i* is odd, then the machine  $\mathcal{M}_{P_3}$ , after one alternation, simulates the machine  $\mathcal{N}_{S_2}$ , which recognizes the unary language  $S_2$ .
- If  $(i \mod 2) = 0$ , i.e. *i* is even, then the machine  $\mathcal{M}_{P_3}$ , without any alternation, simulates the machine  $\mathcal{N}_{P_2}$  recognizing the unary language  $P_2$ .

Thus  $\mathcal{L}(\mathcal{M}_{P_3}) = L$ . Clearly,  $\mathcal{M}_{P_3}$  does not use space above  $O(\log \log n)$ , since both  $\mathcal{N}_{S_2}$  and  $\mathcal{N}_{P_2}$  are  $O(\log \log n)$  space bounded. The initial computation of f(n), checking if  $f(n) = p_i$  for some prime  $p_i$ , and computing  $i \mod 2$  is also bounded by space  $O(\log \log n)$ . If f(n) is not a prime,  $\mathcal{M}_{P_3}$  does not alternate at all. If  $f(n) = p_i$ , for  $i \operatorname{odd}$ ,  $\mathcal{M}_{P_3}$  alternates twice, while for i being even it alternates only once. Since the initial deterministic computation can be viewed as a part of a universal phase,  $\mathcal{M}_{P_3}$  is a  $\Pi_3$ -alternating device.  $\Box$ 

Lemma 7.  $L \in \text{unary}-\Sigma_3$ -SPACE $(\log \log n)$ .

**Proof.** The machine  $\mathcal{M}_{S_3}$  uses the same algorithm as  $\mathcal{M}_{P_3}$  in Lemma 6, but this time the initial deterministic computation of f(n), as well as checking if f(n) is a prime, is performed as a part of the initial *existential* phase. Thus,  $\mathcal{M}_{S_3}$  does not alternate at all, if f(n) is not a prime, it alternates twice, if  $f(n) = p_i$  with *i* even, and only once, if *i* is odd. Summing up,  $\mathcal{M}_{S_3}$  is a  $\Sigma_3$ -alternating device.

**Theorem 4.**  $L \in \text{unary}-\Delta_3$ -SPACE $(\log \log n)$ .

Now we have to prove that the unary language L can be recognized neither by a unary  $\Sigma_{2^{-}}$  nor by a  $\Pi_{k}$ -alternating machine in space  $o(\log n)$ . This requires to show some basic properties about the least common multiple. In what follows,  $\operatorname{lcm}\{1, 2, \ldots, p-1\}$  denotes the least common multiple of numbers  $1, \ldots, p-1$ .

**Lemma 8.** For each prime p > 2,

- 1.  $f(\operatorname{lcm}\{1, 2, \dots, p-1\}) = p,$
- 2. for each  $\ell \in \{1, 2, ..., lcm\{1, 2, ..., p-1\} 1\}$ , we have that  $f(\ell) < p$ .

**Proof.** Let  $k = \text{lcm}\{1, 2, \dots, p-1\}$ .

- 1. If  $k = \text{lcm}\{1, 2, \dots, p-1\}$ , then k is divisible by each  $\ell \leq p-1$ , and it is not divisible by the prime p. Thus we have that  $f(k) = f(\text{lcm}\{1, 2, \dots, p-1\}) = p$ .
- 2. Because k is the *least* common multiple of the numbers  $1, 2, \ldots, p 1$ , no  $\ell \leq k - 1$  is a common multiple of  $1, 2, \ldots, p - 1$ . Thus, for each  $\ell \leq k - 1$ , we have at least one  $n \in \{1, 2, \ldots, p - 1\}$  that does not divide  $\ell$ . But then  $f(\ell) \leq n \leq p-1 < p$ . Therefore  $f(\ell) < p$  for each  $\ell \in \{1, 2, \ldots, lcm\{1, 2, \ldots, p-1\} - 1\}$ .

We also need a technical lemma describing some properties of the function f(n).

**Lemma 9** ([6]). f(n) is unbounded, i.e., for each  $h \ge 0$ , there exists  $n \ge 0$  such that  $f(n) \ge h$ , and f(n) = f(n + n!), for each  $n \ge 2$ .

 $S_2$  and  $P_2$  are languages separating the complexity class unary- $\Sigma_2$ -SPACE(s(n)) from unary- $\Pi_2$ -SPACE(s(n)) in [4]. We shall now recall a stronger statement.

**Theorem 5** ([6]). For each  $s(n) \in o(\log n)$ ,

- 1. if  $L \in \Sigma_2$ -SPACE(s(n)), then there exists n' > 0 such that, for each  $n \ge n'$ ,  $1^n \in L$  implies  $1^{n+n!} \in L$ ,
- 2. if  $L \in \Pi_2$ -SPACE(s(n)), then there exists n' > 0 such that, for each  $n \ge n'$ ,  $1^n \notin L$  implies  $1^{n+n!} \notin L$ .

**Lemma 10.** For each  $s(n) \in o(\log n)$ ,  $L \notin unary-\Pi_2$ -SPACE(s(n)).

**Proof.** Suppose, for contradiction, that  $L \in \mathsf{unary}-\Pi_2$ -SPACE(s(n)). By Theorem 5, we have that there exists  $n' \in \mathbb{N}$  such that, for each  $n \ge n'$ , if  $1^n \notin L$  then  $1^{n+n!} \notin L$ . Let  $p_i$  be the *i*-th prime, with *i* odd, and  $p_i > \max\{n', 4\}$ . Since  $\operatorname{lcm}\{\ell - 1, \ell\} = (\ell - 1) \cdot \ell$  for each  $\ell > 1$ , we have  $k = \operatorname{lcm}\{1, \ldots, p_i - 1\} \ge \operatorname{lcm}\{p_i - 2, p_i - 1\} = (p_i - 2) \cdot (p_i - 1) > p_i$ , for each  $p_i > 4$ , and hence  $k > p_i > n'$ . By using Lemma 8, we have  $f(k) = p_i$  and  $f(k) > \max\{f(1), f(2), \ldots, f(k-1)\}$ . Thus  $1^k \notin L$ . But, by Lemma 9,  $f(k + k!) = f(k) = p_i$  and hence  $f(k + k!) \le \max\{f(1), \ldots, f(k), \ldots, f(k+k!-1)\}$ . Therefore  $1^{k+k!} \in L$ , which contradicts the statement of the Theorem 5, i.e.,  $1^{k+k!} \notin L$ .

Similarly we can prove:

**Lemma 11.** For each  $s(n) \in o(\log n)$ ,  $L \notin unary-\Sigma_2$ -SPACE(s(n)).

**Proof.** Here we use the fact that, by Theorem 5, we have that for  $L \in \text{unary}-\Sigma_2$ -SPACE $(o(\log n))$  there must exist  $n' \in \mathbb{N}$  such that  $1^n \in L$  implies  $1^{n+n!} \in L$ , for each  $n \geq n'$ . The rest of argument mirrors Lemma 10; choosing  $p_i > \max\{n', 4\}$  with i even, we get  $1^k \in L$ , but  $1^{k+k!} \notin L$ .

**Theorem 6.**  $L \notin unary - \Pi_2$ -SPACE $(o(\log n)) \cup unary - \Sigma_2$ -SPACE $(o(\log n))$ .

**Corollary 2.** For each  $s(n) \in \Omega(\log \log n) \cap o(\log n)$ ,

The first two inclusions follow from Theorem 1, using fact that  $P_2$  and  $S_2$  are unary languages. See also Figure 2.

$$\Sigma_{0} = \Pi_{0} = \Delta_{0} \stackrel{?}{\subseteq} \Sigma_{1} = \Pi_{1} = \Delta_{1} \stackrel{?}{\subseteq} \Delta_{2} \begin{array}{c} \mathcal{L} \\ \mathcal{L} \\$$

Fig. 2. Alternating space hierarchy in unary case. Here  $\Pi_i$   $(\Sigma_i, \Delta_i)$  represents the complexity class unary- $\Pi_i$ -SPACE(s(n)), for  $s(n) \in \Omega(\log \log n) \cap o(\log n)$  $(unary-\Sigma_i$ -SPACE(s(n)), unary- $\Delta_i$ -SPACE(s(n)), respectively). The equivalence at the first alternating level follows from [5].

Thus, we have a unary language that can be recognized both by  $\Sigma_3$ - and  $\Pi_3$ -alternating machines in space  $O(\log \log n)$ , but neither by  $\Sigma_2$ - nor by  $\Pi_2$ alternating machines in space  $s(n) \in o(\log n)$ .

This shows that, for the alternating sublogarithmic space hierarchy on unary languages, the level "two and a half", i.e.,  $\Delta_3$ -SPACE, is separated from the second level.

An interesting open problem is the position of  $\Delta_2$ -SPACE $(o(\log n))$ . By Theorem 5, if  $L \in \Delta_2$ -SPACE $(o(\log n))$ , then  $1^n \in L$  if and only if  $1^{n+n!} \in L$ , for each sufficiently large n. Thus, the characterization of unary- $\Delta_2$ -SPACE $(o(\log n))$  is the same as the characterization of DSPACE,  $\Sigma_1$ -SPACE, or  $\Pi_1$ -SPACE, which makes the separation of these classes extremely difficult. As shown in [7], this question is closely related to the separation of DSPACE $(\log n)$  from NSPACE $(\log n)$ , one of the fundamental open problems in complexity theory.

It is also not clear whether, in case of unary languages, the sublogarithmic alternating space hierarchy consists of a finite number of distinct levels, or it is infinite. We were only able to raise this hierarchy "from two to two and a half," despite the fact that, in binary case, we have a complete separation between each two levels [1, 6, 10], including intermediate levels  $\Delta_k$ -SPACE( $o(\log n)$ ) [this paper].

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