

SOLVING THE GENERALIZED VERTEX COVER PROBLEM BY GENETIC ALGORITHM

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Abstract. In this paper an evolutionary approach to solving the generalized vertex cover problem (GVCP) is presented. Binary representation and standard genetic operators are used along with the appropriate objective function. The experiments were carried out on randomly generated instances with up to 500 vertices and 100 000 edges. Performance of the genetic algorithm (GA) is compared with CPLEX solver and 2-approximation algorithm based on LP relaxation. The genetic algorithm outperformed both CPLEX solver and 2-approximation heuristic.

Keywords: Vertex cover, genetic algorithms, evolutionary approach, combinatorial optimization, graph algorithms

1 INTRODUCTION

In 1972 Karp [10] showed that 21 diverse problems from graph theory and combinatorics are NP-complete. The vertex cover problem (VCP) was one of them. VCP is defined over an undirected graph $G = (V, E)$ and searches for a set of vertices S such that for each edge $e \in E$ at least one of its endpoints belongs to S and $|S|$ is as small as possible.

Up to now, numerous researchers have studied this problem, mostly from the aspect of approximation. Nevertheless, there is significantly smaller number of researchers who have given experimental results. Some of their recently published, successful methods for solving VCP are given in [7, 12, 30, 31].

There are several papers dealing with various generalizations of the vertex cover problem [2, 8, 9, 29]. In this paper a formulation from [9] was chosen.

Let $G = (V, E)$ be an undirected graph, with three numbers $d_0(e) \geq d_1(e) \geq d_2(e) \geq 0$ for each edge $e \in E$. The solution is a subset $S \subseteq V$ and $d_i(e)$ represents the cost contributed to the solution by the edge e if exactly i of its endpoints are in the solution. The cost of including a vertex v in the solution is $c(v)$. The solution has a cost that is equal to the sum of the vertex costs and the edge costs. The generalized vertex cover problem is to compute a minimum cost set of vertices.

The vertex cover problem has many real-world applications. Examples of the areas where this problem occurs are communications, civil and electrical engineering, and bioinformatics (the vertex cover problem finds applications in the construction of phylogenetic trees, in phenotype identification, and in analysis of microarray data). One of the problems that were a motivation for the generalized vertex cover problem is presented in [23]: given a budget that can be used to upgrade vertices, the goal is to upgrade a vertex set such that in the resulting network the minimum cost spanning tree is minimized.

In [9] Hassin and Levin have studied the complexity of GVCP with the costs $d_0(e) = 1$, $d_1(e) = \alpha$, $d_2(e) = 0$ for every $e \in E$ and $c(v) = \beta$ for every $v \in V$ for all possible values of α and β . They have also provided 2-approximation algorithms for the general case.

In the special case when $d_0(e) = 1$, $d_1(e) = d_2(e) = 0$ for every $e \in E$ and $c(v) = 1$ for every $v \in V$, GVCP is reduced to VCP. Thus, the generalized vertex cover problem is NP-hard as a generalization of the vertex cover problem which is proved to be NP-hard problem. Hassin and Levin have also proved that there are some cases when GVCP can be solved in polynomial time ([9]).

In the literature, there were no papers offering experimental results for GVCP.

2 MATHEMATICAL FORMULATION

Let $G = (V, E)$ be an undirected graph. For every edge $e \in E$ three numbers $d_0(e) \geq d_1(e) \geq d_2(e) \geq 0$ are given and for every vertex $v \in V$ a number $c(v) \geq 0$ is given.

For a subset $S \subseteq V$ denote $\overline{S} = V \setminus S$, $E(S)$ is the set of edges whose both end-vertices are in S , $E(S, \overline{S})$ is the set of edges that connect a vertex from S with a vertex from \overline{S} , $c(S) = \sum_{v \in S} c(v)$, and for $i = 0, 1, 2$ $d_i(S) = \sum_{e \in E(S)} d_i(e)$ and $d_i(S, \overline{S}) = \sum_{e \in E(S, \overline{S})} d_i(e)$.

The generalized vertex cover problem is to find a vertex set $S \subseteq V$ that minimizes the cost $c(S) + d_2(S) + d_1(S, \overline{S}) + d_0(\overline{S})$. Thus, the value $d_i(e)$ represents the cost of the edge e if exactly i of its endpoints are included in the solution, and the cost of including a vertex v in the solution is $c(v)$.

An integer programming formulation of the generalized vertex cover problem, introduced in [9], is shown below.

$$\min \sum_{i=1}^n c(i)x_i + \sum_{(i,j) \in E} (d_2(i,j)z_{ij} + d_1(i,j)(y_{ij} - z_{ij}) + d_0(i,j)(1 - y_{ij})) \quad (1)$$

subject to:

$$y_{ij} \leq x_i + x_j \quad \text{for every } (i,j) \in E \quad (2)$$

$$z_{ij} \leq x_i \quad \text{for every } i \in V, (i,j) \in E \quad (3)$$

$$z_{ij} \leq x_j \quad \text{for every } j \in V, (i,j) \in E \quad (4)$$

$$x_i, y_{ij}, z_{ij} \in \{0, 1\} \quad (5)$$

where x_i is an indicator variable that is equal to 1 if vertex i is included in solution; y_{ij} is an indicator variable that is equal to 1 if at least one of the vertices i and j is included in the solution, z_{ij} is an indicator variable that is equal to 1 if both i and j are included in the solution.

Example 1. Let $|V| = 4$ and $|E| = 5$. The costs $c(v)$ of including vertices in the solution are given in Table 1. For every edge its end-points and d_0 , d_1 , and d_2 costs are given in Table 2.

v	1	2	3	4
c(v)	1	2	3	4

Table 1. $c(v)$ costs

start	end	d_0	d_1	d_2
1	2	5	3	2
1	3	4	4	3
1	4	5	2	2
2	3	3	2	1
3	4	2	2	2

Table 2. Edges and their d_0 , d_1 , d_2 costs

The optimal objective value in this example is 15 and the generalized vertex cover consists of only one vertex (vertex 1). The corresponding vertex cost $c(S) = c(1) = 1$ and the edge costs are $d_1(S, \overline{S}) = d_1(1, 2) + d_1(1, 3) + d_1(1, 4) = 3 + 4 + 2 = 9$, $d_0(\overline{S}) = d_0(2, 3) + d_0(3, 4) = 3 + 2 = 5$ and $d_2(S) = 0$. Optimal solution is obtained by CPLEX solver using integer programming (Equations (1) through (5)).

3 PROPOSED GA METHOD

Genetic algorithm (GA) is a heuristic method based on Darwin's theory of evolution and genetic laws. In the first iteration of the algorithm, population usually consists of randomly generated individuals. Each individual represents an encoded solution of a problem and has a value named fitness associated with it, which represents a quality of the individual in the current population. After applying the genetic operators of selection, crossover and mutation to the current population, the next generation is formed. This process is iteratively performed until some finishing criterion is satisfied. In [28], detailed description of GA can be found.

Extensive computational experience on various optimization problems shows that GA often produces high quality solutions in a reasonable time. Some of recent applications are:

- hub location [6, 18, 19, 20, 34, 35];
- facility location [4, 14, 16, 27, 33];
- biconnectivity augmentation of graphs [24, 25, 26];
- metric dimension of graphs [21, 22];
- generalized Euclidean distances [1];
- spanning sets coverage [11];
- binary sequencing [13].
- maximally balanced connected partition of graphs [3];
- index selection [17];
- machine-job assignment [32].

In proposed implementation of GA, the binary encoding of the individuals is used. Each solution is represented by a binary string of length $|V|$. Digit 1 in the genetic code denotes that particular vertex is in corresponding vertex cover S , while 0 shows it is not.

Example 2. Let genetic code be 1000. This means that $x_1 = 1$, $x_2 = x_3 = x_4 = 0$, implying $S = \{1\}$. According to Example 1, this genetic code represents its optimal solution.

For improving objective value of the best individual in the current population, the local search is used. The local search is performed only when the best individual is changed and not before the 20th generation. As can be seen below, there is no need for local search if there is no change of the best individual. Also, in the first 20 generations, all the individuals have relatively bad solution quality, so using the local search would be a waste of time.

The local search is performed by using add/remove heuristic with first improvement, that usually outperforms best improvement heuristic. In more detail, for each $ch \in \{1, \dots, |V|\}$, local search tries to complement $S[ch]$ to $1 - S[ch]$. If that brings

improvement to the objective value, the change is performed. That process is repeated until there is no improvement in some iteration, and the last objective value is declared as final objective value.

The proposed GA implementation uses *gvcChange1()* function, whose code is given in Figure 1, for calculating the objective value of the vertex cover S with $S[ch]$ being complemented to $1 - S[ch]$. In the given code, *val* represents the objective value of S before the change is performed, *vne* is array of dimension $|V|$ such that $vne[ch]$ is the number of edges having vertex ch as one of its endpoints, while E and V are matrices of dimension $|V| * |V|$ containing ordinal numbers of those edges and their remaining endpoints, respectively.

```
double gvcChange1(int *S, double val, int ch)
{
    int i;
    double res=val;
    if(S[ch]){
        res-=c[ch];
        for(i=0; i<vne[ch]; i++)
            if(S[V(ch,i)])
                res-=d2[E(ch,i)]-d1[E(ch,i)];
            else
                res-=d1[E(ch,i)]-d0[E(ch,i)];
    }
    else{
        res+=c[ch];
        for(i=0; i<vne[ch]; i++)
            if(S[V(ch,i)])
                res+=d2[E(ch,i)]-d1[E(ch,i)];
            else
                res+=d1[E(ch,i)]-d0[E(ch,i)];
    }
    return res;
}
```

Fig. 1. Code for *gvcChange1* function

Initial population of $N_{pop} = 150$ individuals is randomly generated. This approach provides maximal diversity of genetic material. Fitness of an individual is computed by scaling objective values of all individuals from the population into the interval $[0,1]$ so that the best individual has fitness 1 and the worst one has fitness 0. Explicitly, $f_{ind} = \frac{obj_{ind_{min}} - obj_{ind}}{obj_{ind_{min}} - obj_{ind_{max}}}$. $N_{elite} = 50$ elite individuals are automatically passed to the next generation. The genetic operators are applied to the rest of the population. Objective value of every elite individual is the same as in the pre-

vious generation and is calculated only once, providing significantly better run-time performance of the algorithm.

Individuals with the same genetic code are discarded in every generation in order to avoid premature convergence. Their fitness values are set to zero, except for the first occurrence, so that selection operator avoids them to enter the next generation. Individuals with the same objective value, but different genetic codes, may dominate in some cases in the population. Thus, it is useful to limit the number of their appearance to some constant N_{rv} . In this implementation $N_{rv} = 40$.

The selection operator chooses the non-elitist individuals which will participate in recombination process and give offspring. In this process, individuals with higher fitness value are favored. As a selection method, the fine grained tournament selection (FGTS), described in [4] is used. This operator uses a real (rational) parameter F_{tour} , representing preferable average tournament size. The first type of tournaments is held k_1 times and its size is $\lfloor F_{tour} \rfloor$, while the second type is performed k_2 times with $\lceil F_{tour} \rceil$ individuals participating, so $F_{tour} \approx \frac{k_1 \cdot \lfloor F_{tour} \rfloor + k_2 \cdot \lceil F_{tour} \rceil}{N_{pop} - N_{elite}}$.

Extensive numerical experiments in [4, 5, 6, 33] performed for different optimization problems indicate that FGTS gives the best results for $F_{tour} = 5.4$. The same value is used in this GA implementation. The running time for FGTS operator is $O((N_{pop} - N_{elite}) \cdot F_{tour})$. In practice F_{tour} and $N_{pop} - N_{elite}$ are considered to be constant that gives a constant running time complexity. For detailed information about FGTS see [5].

After pairs of parents are randomly selected from the set of individuals chosen by FGTS, a crossover operator is applied to them producing two offsprings per each pair of parents. The standard one-point crossover operator is used in the proposed GA. This operator is performed by exchanging segments of two parents' genetic codes starting from a randomly chosen crossover point. The crossover operator is realized with probability $p_{cross} = 0.85$. It means that approximately 85% pairs of individuals exchange their genetic material.

Modified simple mutation operator is used in this GA implementation. It is performed by changing a randomly selected gene in the genetic code of the individual, with a certain mutation rate. During the GA execution it may happen that all individuals in the population have the same gene on a certain position. This gene is called frozen. If the number of frozen genes is l , the search space becomes 2^l times smaller and the possibility of a premature convergence increases rapidly. The selection and crossover operators can not change the bit value of any frozen gene and the basic mutation rate is often too small to restore lost subregions of the search space. On the other hand, if the basic mutation rate is increased significantly, a genetic algorithm becomes a random search. For that reason, mutation rate is increased on frozen genes only. In this implementation, the mutation rate for frozen genes is increased 2.5 times ($1.0/n$), compared to non-frozen ones ($0.4/n$).

The run-time performance of GA is improved by caching technique. The main idea is to avoid computing the same objective value every time when genetic operators produce individuals with the same genetic code. Evaluated objective values are

stored in a hash-queue data structure using the least recently used (LRU) caching technique. When the same code is obtained again, its objective value is taken from the cache memory, that provides time-savings. In this implementation the number of individuals stored in the cache memory is limited to 5 000. For detailed information about caching GA see [15].

A research group the author belongs to has a large experience on genetic algorithms. The choice of GA parameters presented in this paper is based on that experience. In [33] GA parameters were intensively tested and values reported as best are chosen here.

4 EXPERIMENTAL RESULTS

All computations were executed on Intel 2.5 GHz PC with 1 GB RAM under Windows XP operating system. Genetic algorithm was coded in C programming language.

Since there were no instances for this problem, the author randomly generated instances using the following algorithm:

- input data: $|V|$, $|E|$, random_seed;
- $|E|$ out of all possible $\frac{|V|*(|V|-1)}{2}$ edges are randomly generated;
- for each edge $e \in E$, $d_0(e)$ is a random real number from interval $[0,100]$, $d_2(e)$ is a random real number from interval $[0, d_0(e)]$, $d_1(e)$ is a random real number from interval $[d_2(e), \frac{d_0(e)+d_2(e)}{2}]$;
- following pseudo code describes generation of $c(v)$ for all $v \in V$:

```

for(i=0; i<|V|; i++){
    avg_gain=0;
    for(j=0; j<100; j++) {
        for(k=0; k<|V|; k++) S[k] = random(0,1);
        avg_gain += calculate_gain_of_d(i);
    }
    avg_gain /= 100;
    c[i] = avg_gain;
}

```

Supposing S is the current vertex cover, procedure *calculate_gain_of_d()* returns the value obtained as the edge costs gain of adding vertex v to the cover S . The previous pseudo code calculates the cost $c(v)$ of including vertex v in the solution as the average edge costs gain of including vertex v in 100 random solutions. All of the modern exact and heuristic methods have a preprocessing part which removes all “useless” variables, i.e. the ones which clearly can not participate in any good solution. Taking the previously described average gain as $c(v)$, generated instances have a small number of “useless” vertices.

Moreover, previous procedure for generating GVCP instances effectively prevents occurrence of “easy” instances that can be solvable in polynomial time. Detailed information about cases when GVCP is polynomially solvable can be found in [9]. Thus, the calculation of right endpoints of intervals from which coefficients d_1 were chosen during the generation of instances is based on results from [9].

Instance name	Opt_{sol}	CPLEX (2-hour)		2-appr	
		Sol	t (sec)	Sol	t (sec)
gvc-30-50	2 227	opt	0.031	2 543	0.015
gvc-30-100	4 163	opt	0.125	4 685	0.031
gvc-30-200	9 687	opt	1.031	10 703	0.062
gvc-30-400	18 553	opt	18.750	20 146	0.125
gvc-50-100	4 326	opt	0.109	4 930	0.046
gvc-50-200	8 853	opt	0.546	9 899	0.296
gvc-50-500	23 072	opt	245.296	25 384	0.156
gvc-50-1000	–	46 729	7 200	50 518	0.640
gvc-100-200	8 430	opt	0.343	9 468	0.046
gvc-100-500	22 334	opt	157.796	24 867	0.109
gvc-100-1000	–	44 922	7 200	49 283	0.343
gvc-100-4000	–	185 359	7 200	199 840	6.656
gvc-200-500	22 510	opt	10.453	25 553	0.234
gvc-200-2000	–	90 486	7 200	99 198	2.515
gvc-200-5000	–	231 586	7 200	249 837	6.093
gvc-200-15000	–	707 176	7 200	755 170	171.140
gvc-300-1000	–	44 319	7 200	49 826	0.421
gvc-300-5000	–	231 947	7 200	253 128	8.453
gvc-300-20000	–	934 713	7 200	999 471	195.656
gvc-300-40000	–	1 865 107	7 202	1 992 350	1760
gvc-400-1200	–	53 953	3 903.*	60 483	1.218
gvc-400-5000	–	227 290	7 200	247 458	6.937
gvc-400-20000	–	934 176	7 200	997 593	189.125
gvc-400-70000	–	3 280 984	7 201	3 497 365	6 004
gvc-500-1500	–	67 628	6 110.*	75 631	0.859
gvc-500-5000	–	228 311	7 200	249 300	6.828
gvc-500-30000	–	1 403 360	7 200	1 499 411	352.234
gvc-500-100000	–	4 725 438	7 202	4 993 478	4 420

Table 3. CPLEX and 2-appr results

Integer programming formulation (Equations (1) through (5)) is implemented and tested by CPLEX 10.1.0 solver in order to obtain optimal solutions. Time limitation was set to 7 200 seconds per execution preventing very long running time. According to this limitation, in some cases optimal solutions were not reached, so best found solution was reported.

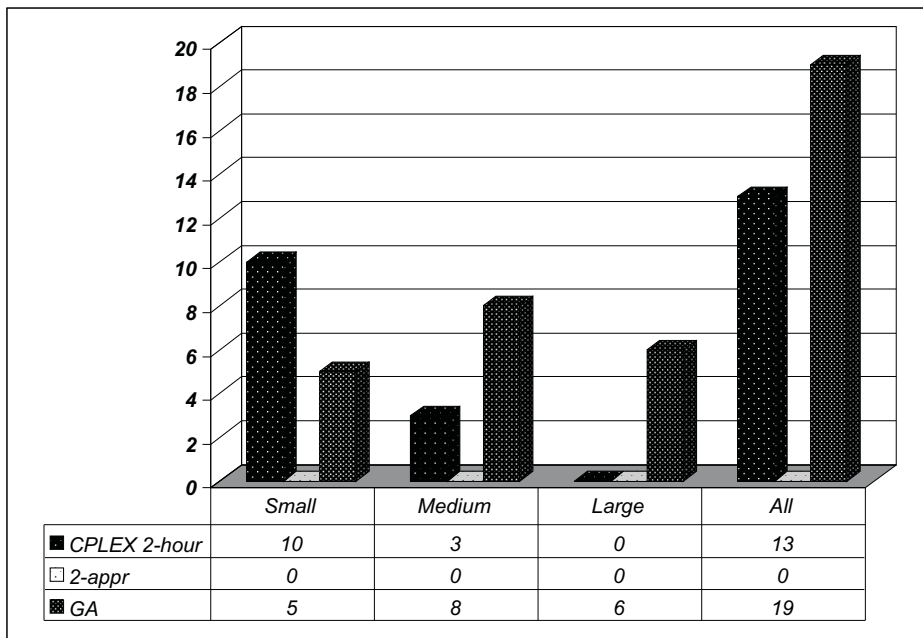


Fig. 2. Number of optimal/best solutions of GA, CPLEX 2-hour, and 2-appr methods

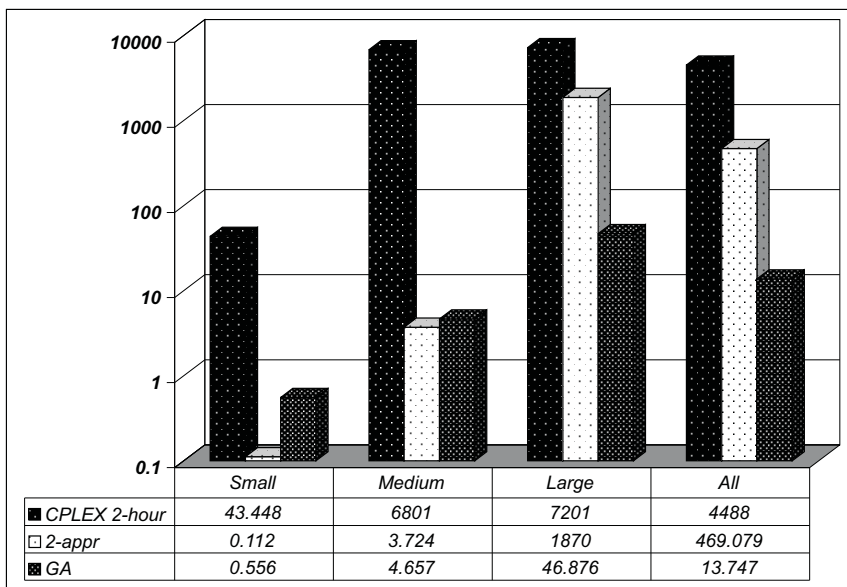


Fig. 3. Average running times of GA, CPLEX 2-hour, and 2-appr methods

Instance name	$Best_{sol}$	GA				
		Sol	t (sec)	Gen	Eval	Cache (%)
gvc-30-50	2 227	opt	0.259	2 210	19 546	82
gvc-30-100	4 163	opt	0.252	2 225	15 550	86
gvc-30-200	9 687	opt	0.274	2 261	19 980	82
gvc-30-400	18 553	opt	0.269	2 047	14 966	85
gvc-50-100	4 326	4 327	0.376	2 447	29 342	76
gvc-50-200	8 853	8 880	0.412	2 576	32 276	75
gvc-50-500	23 072	opt	0.457	2 264	28 466	75
gvc-50-1000	46 665	best	0.607	2 292	30 992	73
gvc-100-200	8 430	8 438	0.666	2 787	53 850	61
gvc-100-500	22 334	22 355	0.832	2 825	56 465	60
gvc-100-1000	44 815	best	1.359	3 372	70 298	58
gvc-100-4000	184 327	best	3.074	3 007	64 612	58
gvc-200-500	22 510	22 579	1.767	4 154	106 005	49
gvc-200-2000	90 294	best	2.668	3 260	83 994	49
gvc-200-5000	229 782	best	6.728	4 171	111 989	46
gvc-200-15000	701 164	best	16.471	3 931	107 367	46
gvc-300-1000	44 319	44 414	3.284	4 796	135 735	43
gvc-300-5000	230 810	best	8.028	4 419	127 094	42
gvc-300-20000	925 711	best	20.974	3 460	101 868	41
gvc-300-40000	1 853 084	best	37.974	3 209	95 163	41
gvc-400-1200	53 953	54 033	3.816	4 434	131 280	41
gvc-400-5000	226 097	best	8.103	4 081	122 797	40
gvc-400-20000	922 492	best	19.615	3 083	93 384	39
gvc-400-70000	3 257 512	best	80.215	3 474	106 971	39
gvc-500-1500	67 628	67 758	4.870	4 482	137 535	39
gvc-500-5000	227 207	best	8.692	4 070	125 570	38
gvc-500-30000	1 387 633	best	36.475	3 744	117 362	37
gvc-500-100000	4 651 995	best	116.410	3 236	103 695	36

Table 4. GA results

Since there were no papers containing experimental results for GVCP, for comparing with performances of the GA, 2-approximation algorithm from [9] was implemented and tested. 2-approximation algorithm is based on LP relaxation of (1)–(5) integer program, fixing all relaxed binary variables with values greater than or equal to $\frac{1}{2}$ to 1. Other variables (with relaxed value less than $\frac{1}{2}$) were fixed to 0. For solving this LP relaxation, CPLEX 10.1.0 solver is also used.

Table 3 summarizes CPLEX and 2-approximation algorithm results on generated instances. In the first column the test instance name is given. The instance's name carries information about the number of vertices and the number of edges, respectively. For example, the instance *gvc_100_1000* is created by using the above algorithm given 100 as a number of vertices, and 1000 as a number of edges.

The second column contains optimal solution on the current instance, if it is known (obtained by CPLEX solver), otherwise sign $-$ is written. The third and fourth columns contain solution and running time of CPLEX solver while solution and running time of 2-approximation heuristic are presented in the fifth and sixth columns of the table. Mark *opt* is given if optimal solution is reached. Sign $*$ in the column t is written for the cases when CPLEX solver run out of memory.

The finishing criterion of GA is the maximal number of generations $N_{gen} = 5000$. The algorithm also stops if the best individual or best objective value remains unchanged through $N_{rep} = 2000$ successive generations. Since the results of GA are nondeterministic, the GA was run 20 times on each problem instance.

In Table 4 results of GA are presented. The first column also contains the test instance name. The second column contains the best known solution on the current instance, i.e. the best solution among the solutions given by CPLEX (2-hour), 2-approximation, and GA. The best GA value GA_{best} is given in the following column, with mark *opt* in cases when GA reached optimal solution (obtained by CPLEX solver). If the GA reached the best-known solution, which is not proved to be optimal, the mark *best* is written. The next column t contains the average running time (in seconds) used to reach the final GA solution. The average number of generations for finishing GA is presented in column *Gen*. In the last two columns, *Eval* represents the average number of the objective function evaluations, while *Cache* displays savings (in percent) achieved by using cache technique.

In both tables, time values are shown without decimal places if they are greater than 1000, and with three decimal places otherwise. Also, all other values are presented without decimal places.

The results shown in Table 3 and Table 4 are put together and illustrated in a graphical form in Figures 2 and 3. Instances are divided into three groups: small (up to 500 edges), medium (between 1000 and 5000 edges) and large (more than 5000 edges). In Figure 2 for every of three compared methods (CPLEX, 2-approximation, and GA) and every group of instances, the number of the group's instances on which the method has reached optimal/best solution is reported. Also, the corresponding number for group of all 28 instances is presented. In Figure 3 for every group of instances and every method the average running time of that method on the instances of that group is presented. Also, the corresponding average running time is presented for group of all 28 instances. A logarithmic scale is used in Figure 3.

As can be seen from Table 3, 2-approximation algorithm has not reached either optimal or best solution. In five cases (*gvc* - 30 - 50, *gvc* - 30 - 100, *gvc* - 30 - 200, *gvc* - 30 - 400, *gvc* - 50 - 500) both GA and CPLEX solver have reached optimal solution. On other five instances (*gvc* - 50 - 100, *gvc* - 50 - 200, *gvc* - 100 - 200, *gvc* - 100 - 500, *gvc* - 200 - 500) CPLEX has reached optimal solution, but GA has not. CPLEX has not reached optimal solution in 2 hours, but produced better solution than GA in 3 more cases: *gvc*-300-1000, *gvc*-400-1200, *gvc*-500-1500. For remaining 15 instances GA produced better solutions than CPLEX. Note that CPLEX is more suitable for solving GVCP on smaller dimension sparse graphs, while GA has advantages on larger and/or dense graphs.

Except for instances: $gvc-30-50$, $gvc-30-100$, $gvc-50-100$, $gvc-50-200$, $gvc-100-200$ where running time of both CPLEX and GA is less than 1 second, on all other instances GA has much smaller running time. Note that GA running time did not exceed 117 seconds for all instances. On the other hand, CPLEX has different behavior, in cases of smaller dimension sparse graphs where optimal solution was reached in less than 246 seconds, in other cases, even after 2 hours of execution quality of solution was rather bad.

5 CONCLUSIONS

In this paper an efficient evolutionary metaheuristic for solving the generalized vertex cover problem is presented. The binary representation, the mutation with frozen genes, limited number of different individuals with the same objective value and the caching technique were used. Solution quality is improved by using the local search heuristic that is efficiently implemented in GA.

As can be seen from experimental results, this approach seems to be a good candidate for solving GVCP. Computational experiments demonstrate the robustness of the proposed algorithm with respect to the solution quality and running times. Comparisons with the results of the CPLEX and 2-approximation heuristic show the appropriateness of applying the proposed algorithm components.

Future research will be directed to parallelization of the presented GA, incorporation in exact methods and application for solving similar problems.

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